



PATENT--NO FEE

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IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicant:)
ALAIN CLAUDE-MARIE DAUGAN)
Serial No.: 10/068,114 ✓)
Filed: February 2, 2002)
For: TETRACYCLIC DERIVATIVES, ✓)
PROCESS OF PREPARATION AND USE)
Attorney Docket No. 29342/38166)
Group Art Unit: 1624)
Examiner: Unassigned)
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Commissioner for Patents,)
Washington, D.C. 20231)
on this date:)
April 9, 2002)
James J. Napoli)
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Attorney for Applicant)

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, applicant hereby brings to the examiner's attention patents and publications that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicant encloses a completed Form PTO-1449 listing the possibly pertinent patents and publications.

In accordance with 37 C.F.R. §1.98(d), copies of the patents and publications are not enclosed herein because copies were provided in a prior application that applicant relies upon for an earlier filing date under 35 U.S.C. §120. Copies of documents listed in the attached

BEST AVAILABLE COPY

Form PTO-1449 were provided to, or cited by, the examiner of the following patent applications:

Alain Claude-Marie Daugan
Serial No. 08/669,389
Filing Date: July 17, 1996
Title: Tetracyclic Derivatives, Process
of Preparation and Use,
now U.S. Patent No. 5,859,006;

Alain Claude-Marie Daugan
Serial No. 09/133,078
Filing Date: August 12, 1998
Title: Tetracyclic Derivatives, Process
of Preparation and Use,
now U.S. Patent No. 6,025,494;

Alain Claude-Marie Daugan
Serial No. 09/399,667
Filing Date: September 21, 1999
Title: Tetracyclic Derivatives, Process
of Preparation and Use,
now U.S. Patent No. 6,127,542; and

Alain Claude-Marie Daugan
Serial No. 09/633,431
Filing Date: August 7, 2000
Title: Tetracyclic Derivatives, Process
of Preparation and Use,
Status: Allowed.

If the examiner needs a new copy of a patent or publication listed on the enclosed Form PTO-1449, applicant can provide such a copy.

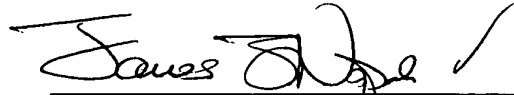
This Information Disclosure Statement is submitted within three months of the filing date of the

above-identified application, and, therefore, under 37 C.F.R. §1.97(b), shall be considered by the Patent Office.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

By



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